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JG Rec'd PCT/PTO 05 APR 2002

APR 05 2002

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PATENT & TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Akane TAKEMURA, et al.**

ATTN: PCT BRANCH

Serial No.: **09/926,697**

Group Art Unit: **Not Yet Assigned**

Filed: **December 4, 2001**

P.T.O. Confirmation No.: **3246**

For: **RISK IMPROVEMENT TABLE, METHOD OF CREATING THE SAME, RISK CARE SET INCLUDING THE RISK IMPROVEMENT TABLE, AND RISK CARE BUSINESS SYSTEM**

**RESPONSE TO NOTICE TO FILE MISSING REQUIREMENTS**

**Commissioner for Patents**  
**Washington, D.C. 20231**

April 5, 2002

Dear Sir:

In response to the Notice to File Missing Requirements of Application dated **February 11, 2002**, enclosed for filing is an executed Declaration and Power of Attorney for the above-identified patent application.

Please note that the surcharge fee of **\$130.00** for filing the late Declaration was paid at the initial filing. The fees enclosed at initial filing on **December 4, 2001** were \$1,678.00 (\$890.00 for basic filing fees, \$378.00 for extra claims, \$280.00 for multiple dependent claims and \$130.00 for filing the late Declaration). In the event that any additional fees are due with this paper, please charge Deposit Account No. 01-2340.

In the event that this response is not timely filed, applicants hereby petition for an appropriate extension of time. The fees for any such extension may be charged to our Deposit Account No. 01-2340. This paper is filed in triplicate.

Respectfully Submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

Donald W. Hanson

Attorney for Applicants

Reg. No. 27,133

DWH/jaz

Atty. Docket No. **011633**

Suite 1000, 1725 K Street, N.W.

Washington, D.C. 20006

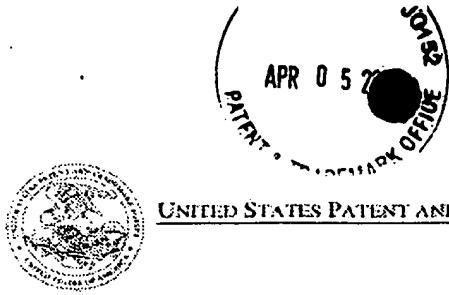
(202) 659-2930



**23850**

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Enclosures: Notice; Declaration



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20233  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/926,697	Akane Takemura	011633

23850  
ARMSTRONG, WESTERMAN & HATTORI, LLP  
1725 K STREET, NW.  
SUITE 1000  
WASHINGTON, DC 20006

R	RECEIVE	INTERNATIONAL APPLICATION NO.
		PCT/JP00/03572
FEB 19 2002		IA FILING DATE
		06/02/2000
		PRIORITY DATE
		06/04/1999
ARMSTRONG, WESTERMAN, HATTORI, LLP		
CONFIRMATION NO. 3246		
371 FORMALITIES LETTER		



Date Mailed: 02/11/2002

Due April 11, 2002

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- English Translation of the IA
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination

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JW ME PW  
2-19-02

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed

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U.S. PATENT AND TRADEMARK OFFICE  
2023  
→ 20 MARCH 2001 13-401  
22 MAR 2001 7-0-01

to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice MUST be returned with the response.*

KAREN M WILLIAMS

Telephone: (703) 305-3688

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/926,697	PCT/JP00/03572	011633

FORM PCT/DO/EO/905 (371 Formalities Notice)